



SYSTEM ETHICS & COMPLIANCE OFFICE

Annual D.E.I. Compliance

Review Checklist: Finance & Budgeting

Member Agency/Institution: _____
 Chief Financial Officer: _____
 Review Completed by: _____

FINANCE & BUDGETING	Summary of Action Taken <i>(identify documented evidence used in the process)</i>	Date Verified
<p>Budgets & Accounting: <i>Determine whether the member has complied with the elimination of account and budget codes that fund diversity, equity, and inclusion initiatives in violation of DEI Law as implemented by System Policy 08.01, Civil Rights Compliance and Protections.</i></p>		
<ul style="list-style-type: none"> Identified accounts and/or budget codes that fund DEI initiatives and taken action to ensure compliance. 		
<ul style="list-style-type: none"> Eliminated accounts and/or budget codes to include titles, locations/departments, sub-accounts/sub-departments, and funding sources that violate DEI Law. 		
<ul style="list-style-type: none"> Implemented procedures for the systemic review and vetting of current and proposed accounts and budget codes to include titles, locations/departments, sub-accounts/sub-departments, and funding sources to ensure compliance. 		
<ul style="list-style-type: none"> Implemented a record-retention process for reporting purposes. 		

SUMMARY OF FINDINGS: *(to be completed by Member Ethics & Compliance Officer)*

_____ Meets compliance

_____ Meets compliance after implementing recommendations

_____ Factors preventing compliance as agency/institute of higher learning has not implemented recommendations on statutory/regulatory requirements

SIGNATURES

Employee Completing Checklist

Title

Signature

Date

Approved by:

Ethics & Compliance Officer

Date