



SYSTEM ETHICS & COMPLIANCE OFFICE

Annual D.E.I. Compliance

Review Checklist: Faculty Affairs

Member Agency/Institution: _____

Provost: _____

Review Completed by: _____

| FACULTY AFFAIRS | Summary of Action Taken <i>(identify documented evidence used in the process)</i> | Date Verified |
|--|--|---------------|
| <p>Procedures, Programs and Activities: Determine whether the member has discontinued procedures, programs and activities which promote differential treatment of or provide special benefits to individuals on the basis of race, color, or ethnicity.</p> | | |
| <ul style="list-style-type: none"> Reviewed all faculty hiring and recruiting practices and identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> Reviewed all procedures for tenure review, promotions, merit increases, and equity adjustments; identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> Reviewed all faculty organizations supported by the university to evaluate their purpose, structure, and funding. Identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> Reviewed all grant proposals and accreditation certifications and identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> Reviewed all accreditation certifications and identified those that violate DEI Law and took action to ensure compliance. | | |

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|---|--|--|
| <ul style="list-style-type: none"> • Reviewed the provisions for all academic or professional opportunities extended to students, faculty, and visiting scholars to include institutional conferences and seminars and identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> • Reviewed all forms, documents, procedures, and practices related to the functions listed in this section and identified those that violate DEI Law and took action to ensure compliance. | | |
| <ul style="list-style-type: none"> • Implemented procedures for the systemic evaluation and review of all functions listed in this section to include the vetting of proposed processes, practices, organizations, funding proposals, certifications and corresponding materials to ensure compliance. | | |

SUMMARY OF FINDINGS: *(to be completed by Member Ethics & Compliance Officer)*

_____ Meets compliance

_____ Meets compliance after implementing recommendations

_____ Factors preventing compliance as agency/institute of higher learning has not implemented recommendations on statutory/regulatory requirements

SIGNATURES

Employee Completing Checklist

Title

Signature

Date

Approved by:

Ethics & Compliance Officer

Date