

THE TEXAS A&M UNIVERSITY SYSTEM ANNUAL FINANCIAL DISCLOSURE FORM

JANUARY 1, 2011 – DECEMBER 31, 2011

Instructions: *This form is to be used by employees required to file annual financial disclosures in accordance with System Policy 07.03. The completed form is to be sent to the employee's CEO.*

1. The following persons are required to file this report concerning themselves and their spouses and dependent children if the individual had actual control over the financial activity for the reporting year:
 - a. Deputy Chancellors
 - b. Vice Chancellors
 - c. Chief Auditor
 - d. General Counsel
 - e. Other members of the Chancellor's Executive Committee
 - f. Associate Vice Chancellor, Office of Technology Commercialization
 - g. Vice Presidents
 - h. Employees who exercise discretion with regard to investment of funds under the control of The Texas A&M University System. (NOTE: Only employees who make investment **decisions** are required to file this report.)

2. Deputy Chancellors, Vice Chancellors, the Chief Auditor, the General Counsel, other members of the Chancellor's Executive Committee, and Associate Vice Chancellor, Office of Technology Commercialization should file this report with the Chancellor. Vice Presidents should file this report with the President.

3. Investment employees should file with their Chief Executive Officer.

4. Information in this report should cover the previous calendar year (January 1, 2011 through December 31, 2011).

5. This report may be subject to public disclosure in accordance with the Texas Public Information Act, Ch. 552, Tex. Govt. Code.

6. Attach additional pages of this size if additional space is needed on any response and identify each response by the section to which it relates.

7. When requested to identify an individual or business, indicate the legal name of such and include the address of the principal office of the person or entity.

8. For items 11 through 17, the following identifiers should be used:

Persons	E = employee filing this report S = employee's spouse D(#) = dependent child 1, 2, etc.
Compensation	Category A = No monetary value Category B = \$1.00 to \$4,999.99 Category C = \$5,000 to \$9,999.99 Category D = \$10,000 to \$24,999.99 Category E = \$25,000 or more

9. NOTE: This form does not require the disclosure of shares in a publicly traded mutual fund in which the person does not exercise any discretion regarding the fund's investment assets.

REPORTING PERIOD: JANUARY 1, 2011 THROUGH DECEMBER 31, 2011

(1) Employee Name:	(2) Title:		
(3) TAMUS Member:	(4) Office Phone:		
(5) Office Address:	(6) Home Address:		
(7) Spouse's Name (if applicable):	(8) Spouse's Address (if different from employee's):		
(9) Name of Dependent Child #1 (if applicable) ¹			
(10) Address of Dependent Child #1 (if different from employee's):			
(11) List the names of all corporations, both for-profit and not-for-profit, for which the person serves as a member of the governing board in the capacity of a director, advisory director, trustee, or otherwise.			
PERSON	TITLE	CORPORATION	COMPENSATION
(12) List the names of all corporations, both for-profit and not-for-profit, for which the person serves as an executive officer.			
PERSON	TITLE	CORPORATION	COMPENSATION

¹ Attach additional pages with information for additional dependent children as needed.

(13) List the name of any partnership, limited partnership, proprietorship, or other business association of which the person is a partner, joint venture, or owner.

PERSON	TITLE	BUSINESS ASSOCIATION	COMPENSATION

(14) List the name of any business entity in which the person holds a controlling interest or is the principal shareholder.

PERSON	TITLE	BUSINESS ENTITY	COMPENSATION

(15) List the name of any business entity in which the person owns an interest of 10% or more, whether voting or non-voting, in shares of stock or otherwise, or of 10% or more of the profits, proceeds, or capital gains.

PERSON	TITLE	BUSINESS ENTITY	COMPENSATION

(16) List the name of any business entity in which the person owns an interest of \$25,000 or more of the business entity's fair market value, whether voting or non-voting, in shares of stock or otherwise.

PERSON	TITLE	BUSINESS ENTITY	COMPENSATION

(17) List the name of any employing entity other than TAMUS, including self-employment.

PERSON	TITLE	CORPORATION	COMPENSATION

(18) Have you, your spouse, or dependent children received a gift of anything of value in excess of \$250, excluding gifts from your (or your spouse's) parent, child, brother, sister, grandparent, grandchild?

_____ Yes _____ No

If the answer to (18) was Yes, provide the name of the donor and a brief description of the gift (including the gift's estimated value).

(19) To the best of your knowledge, do any of the business entities or donors identified in items (11) through (18) have any relationship with TAMUS? _____ Yes _____ No

If the answer to (19) was Yes, provide the name of the entity and a brief description of the relationship.

BY EXECUTION OF THIS REPORT, THE SIGNER ACKNOWLEDGES THAT HE OR SHE HAS READ SECTION 572.051, TEXAS GOVERNMENT CODE AND THE ETHICS POLICY, including System Policies 07.01, 07.03 and 07.04 OF THE TEXAS A&M UNIVERSITY SYSTEM, AND THAT THE INFORMATION PROVIDED HEREIN IS FOR THE PURPOSE OF ASSURING COMPLIANCE WITH SUCH STANDARDS OF CONDUCT AND ETHICS POLICY AND DISCLOSING POSSIBLE CONFLICTS OF INTEREST.

I declare that the information provided herein is true and accurate to the best of my knowledge.

Name: _____

Title: _____

Date: _____

Texas Government Code § 572.051. Standards of Conduct; State Agency Ethics Policy

(a) A state officer or employee should not:

- (1) Accept or solicit any gift, favor, or service that might reasonably tend to influence the officer or employee in the discharge of official duties or that the officer or employee knows or should know is being offered with the intent to influence the officer's or employee's official conduct;
- (2) Accept other employment or engage in a business or professional activity that the officer or employee might reasonably expect would require or induce the officer or employee to disclose confidential information acquired by reason of the official position;
- (3) Accept other employment or compensation that could reasonably be expected to impair the officer's or employee's independence of judgment in the performance of the officer's or employee's official duties;
- (4) Make personal investments that could reasonably be expected to create a substantial conflict between the officer's or employee's private interest and the public interest; or

- (5) Intentionally or knowingly solicit, accept, or agree to accept any benefit for having exercised the officer's or employee's official powers or performed the officer's or employee's official duties in favor of another.