Compliance Quarterly Report

Institution Name Date

What accomplishments or progress have you made during the last quarter to mitigate compliance risks at your institution? For example: training conducted, compliance reviews, etc.

Has there been any new compliance issues that have arisen that should be included on your plan since the last reporting period? If yes, please explain.

Is a copy of the minutes from your previous committee meeting attached? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If no, please explain.

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Compliance Officer Signature Date

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Chief Executive Officer Signature Date